

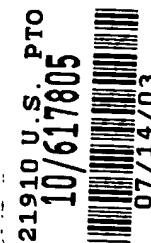


PATENT  
ATTORNEY DOCKET NO.: 047991-5006-01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Mail Stop PATENT APPLICATION**

Commissioner for Patents  
U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202



PRIOR APPLICATION:      Examiner: Guiyoung Lee  
Group Art Unit: 2875

**CONTINUATION PATENT APPLICATION TRANSMITTAL  
UNDER 37 C.F.R. § 1.53(b)**

This is a request for filing a patent application under 37 C.F.R. § 1.53(b).

1. This application is a ☒ Continuation ☐ Divisional ☐ Continuation-in-Part patent application under 37 C.F.R. § 1.53(b), of pending prior application No. 10/013,671, filed on December 13, 2001, of:

Inventor(s): Tomoyoshi YAMASHITA, et al.

For: PLANAR LIGHT SOURCE DEVICE  
AND LIGHT DEFLECTING DEVICE FOR USE IN THE SAME

2. The papers enclosed are as follows:

44      Page(s) of specification including:  
      05      Page(s) of claims  
      01      Page of abstract  
      00      Title Page  
13      Sheet(s) of formal drawings containing 43 Figures  
—      Other: \_\_\_\_\_

3. Amendments

For continuation and divisional applications:

- ☒ [X] Cancel in this application original claims 4-8, 11-22, 26-30 and 33 in the enclosed copy of prior Application No. 10/013,671 before calculating the filing fee.
- ☐ [ ] A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

4. Oath or Declaration

For continuation or divisional applications:

- ☐ [ ] A newly executed (original or copy) oath or declaration is enclosed.
- ☒ [X] A copy of an oath or declaration from a prior application is enclosed under 37 C.F.R. § 1.63(d). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied is considered as part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ [ ] A signed statement deleting inventor(s) named in the prior application is enclosed.

For continuation-in-part applications:

- ☐ [ ] A newly executed (original or copy) oath or declaration is enclosed.
- ☐ [ ] An oath or declaration is not enclosed. This application is being filed under 37 C.F.R. § 1.53(f). Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the declaration and paying the filing fees.

5. Relate Back - 35 U.S.C. § 120

- ☒ [X] Amend the specification by inserting before the first line the sentence:  
  
"This application is a continuation of copending Application No. 10/013,671 filed on December 13, 2001."

6. Priority - foreign applications under 35 U.S.C. § 119(a)-(d) or § 365(b) or PCT international applications under 35 U.S.C. § 365(a) designating at least one country other than the U.S.

☒ Priority of the following foreign applications are claimed:

Country	Application No.	Filed
Japan	2000-380893	December 14, 2000
Japan	2001-310123	October 5, 2001

Certified copy(ies): ☐ are attached.  
☐ will follow.  
☒ were filed in prior U.S. Application No. 10/013,671 on March 22, 2002.

7. Assignment

For continuation or divisional applications:

☒ The prior Application No. 10/013,671 is assigned of record to **Mitsubishi Rayon Co., Ltd.**, recorded December 13, 2001 at Reel/Frame 012380/0387.

☐ An assignment of the invention to \_\_\_\_\_ and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

For continuation-in-part applications:

☐ An assignment of the invention to \_\_\_\_\_ and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

18334 U.S. PTO  
07/14/03

8. Fee Calculation (37 C.F.R. § 1.16)

CLAIMS FOR FEE CALCULATION				
	Number Filed	Number Extra	at Rate of	Basic Fee Utility \$750.00 Design \$375.00
Total Claims (37 C.F.R. § 1.16(c))	10	0	\$ 18.00 each =	+ \$0.00
Independent Claims (37 C.F.R. § 1.16(b))	2	0	\$ 84.00 each =	+ \$0.00
Multiple dependent claim(s), if any (37 C.F.R. § 1.16(d))			\$280.00	+ \$
SUB-TOTAL =				\$750.00
Reduction by ½ for filing by a small entity				- \$
TOTAL FILING FEE =				\$750.00

9. Fee Payment

- ☐ Not Enclosed. **NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.** This application is being filed under the provisions of 37 C.F.R. § 1.53(f). Applicant(s) await notification from the Patent and Trademark Office of the time set for paying the filing fees.
- ☒ The Commissioner is hereby authorized to charge Deposit Account No. 50-0310 in the amount of **\$750.00** for the basic filing fee.

10. Small Entity Status is not claimed and

- ☐ a statement claiming small entity status is not enclosed, or
- ☐ a small entity statement was filed in the prior nonprovisional application and is still proper and desired.

11. ☒ The power of attorney in the prior application is to at least one of the registered practitioners of Morgan, Lewis & Bockius LLP included in the Customer Number provided below to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith, and all correspondence shall be addressed to that Customer Number.

Please address all correspondence to Morgan, Lewis & Bockius LLP at:

**Customer Number: 009629**

12. ☐ Recognize as associate attorney \_\_\_\_\_.  
(name, address, and registration no.)

13. ☒ **PETITION FOR EXTENSION OF TIME**. If any extension of time is necessary for the filing of this application, including any extension in the prior application, Application No. 10/013,671, filed December 13, 2001, for the purpose of maintaining copendency between the prior application and the present application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to Deposit Account No. 50-0310.

14. ☒ **EXCEPT** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be an **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

15. Additional papers enclosed:


- ☒ Information Disclosure Statement
- ☒ Form PTO-1449, no references included
- ☒ Copy of a Verification of a Translation filed in the Prior Application No. 10/013,671.
- ☒ English Translation of Specification – 63 pages, as filed in the Prior Application No. 10/013,671.
- ☐ Declaration of Biological Deposit
- ☐ Submission of “Sequence Listing”, computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

Dated: July 14, 2003

By:

  
Baldine Brunel Paul  
Registration No. P-54,369

Customer No. 09629  
**MORGAN, LEWIS & BOCKIUS LLP**  
1111 Pennsylvania Avenue, N.W.  
Washington, DC 20004  
202-739-3000 (Phone)  
202-739-3001 (Fax)